



***Federal Railroad Administration
Office of Safety
Headquarters Assigned
Accident Investigation Report
HQ-2011-56***

***BNSF Railway Company (BNSF)
Joliet, IL
November 17, 2011***

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

1. Name of Railroad Operating Train #1 BNSF Rwy Co. [BNSF]		1a. Alphabetic Code BNSF		1b. Railroad Accident/Incident No. BNSF	
2. Name of Railroad Operating Train #2 N/A		2a. Alphabetic Code N/A		2b. Railroad Accident/Incident No. N/A	
3. Name of Railroad Operating Train #3 N/A		3a. Alphabetic Code N/A		3b. Railroad Accident/Incident No. N/A	
4. Name of Railroad Responsible for Track Maintenance: BNSF Rwy Co. [BNSF]		4a. Alphabetic Code BNSF		4b. Railroad Accident/Incident No. BNSF	
5. U.S. DOT_AAR Grade Crossing Identification Number		6. Date of Accident/Incident Month 11 Day 17 Year 2011		7. Time of Accident/Incident 03:10:00 <input checked="" type="checkbox"/> AM <input type="checkbox"/> PM	
8. Type of Accident/Incident (single entry in code box)					
1. Derailment		4. Side collision		7. Hwy-rail crossing	
2. Head on collision		5. Raking collision		10. Explosion-detonation	
3. Rear end collision		6. Broken Train collision		11. Fire/violent rupture	
		9. Obstruction		12. Other impacts	
				13. Other (describe in narrative)	
				Code 09	
9. Cars Carrying HAZMAT 0		10. HAZMAT Cars Damaged/Derailed N/A		11. Cars Releasing HAZMAT N/A	
				12. People Evacuated 0	
				13. Division Chillicothe	
14. Nearest City/Town Joliet		15. Milepost (to nearest tenth) 38.3		16. State Abbr Code IL 17	
				17. County WILL	
18. Temperature (F) (specify if minus) 27 F		19. Visibility (single entry) Code 1. Dawn 3. Dusk 2. Day 4. Dark 4		20. Weather (single entry) Code 1. Clear 3. Rain 5. Sleet 2. Cloudy 4. Fog 6. Snow 1	
				21. Type of Track Code 1. Main 3. Siding 2. Yard 4. Industry 1	
22. Track Name/Number Main track No. 2		23. FRA Track Code Class (1-9, X) 4		24. Annual Track Density (gross tons in millions) 4	
				25. Time Table Direction Code 1. North 3. East 2. South 4. West 3	
OPERATING TRAIN #1					
26. Type of Equipment Consist (single entry)		1. Freight train		4. Work train	
2. Passenger train		5. Single car		7. Yard/switching	
3. Commuter train		6. Cut of cars		A. Spec. MoW Equip. Code	
		9. Maint./inspect.car		27. Was Equipment Attended? Code 1. Yes 2. No 1	
				28. Train Number/Symbol YJOL201116	
29. Speed (recorded speed, if available) Code R - Recorded E - Estimated 45 MPH R		31. Method(s) of Operation (enter code(s) that apply)			31a. Remotely Controlled Locomotive?
		a. ATCS g. Automatic block m. Special instructions b. Auto train control h. Current of traffic n. Other than main track c. Auto train stop i. Time table/train orders o. Positive train control d. Cab j. Track warrant control p. Other (Specify in narrative) e. Traffic k. Direct traffic control Code(s) f. Interlocking l. Yard limits			0 = Not a remotely controlled 1 = Remote control portable 2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter
30. Trailing Tons (gross tonnage, excluding power units) 1529		e		N/A N/A N/A N/A	
32. Principal Car/Unit		a. Initial and Number	b. Position in Train	c. Loaded (yes/no)	33. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.
(1) First involved (derailed, struck, etc)		BNSF 2262	1	no	Alcohol 0 Drugs 0
(2) Causing (if mechanical cause reported)		N/A	0	N/A	34. Was this consist transporting passengers? (Y/N) N
35. Locomotive Units		a. Head End	Mid Train		Rear End
		b. Manual	c. Remote	d. Manual	c. Remote
(1) Total in Train		2	0	0	0
(2) Total Derailed		0	0	0	0
37. Equipment Damage		38. Track, Signal, Way, & Structure Damage		39. Primary Cause Code	
This Consist \$1,000.00		\$0.00		M402	
				40. Contributing Cause Code M599	
Number of Crew Members			Length of Time on Duty		
41. Engineer/Operators 1		42. Firemen 0		43. Conductors 1	
				44. Brakemen 1	
				45. Engineer/Operator Hrs 11 Mi 12	
				46. Conductor Hrs 11 Mi 12	
Casualties to:		47. Railroad Employees		48. Train Passengers	
Fatal		0		0	
Nonfatal		0		2	
				50. EOT Device? 1. Yes 2. No 1	
				51. Was EOT Device Properly Armed? 1. Yes 2. No 1	
				52. Caboose Occupied by Crew? 1. Yes 2. No 2	
OPERATING TRAIN #2					
53. Type of Equipment Consist (single entry)		1. Freight train		4. Work train	
2. Passenger train		5. Single car		7. Yard/switching	
3. Commuter train		6. Cut of cars		A. Spec. MoW Equip. Code	
		9. Maint./inspect.car		54. Was Equipment Attended? Code 1. Yes 2. No N/A	
				55. Train Number/Symbol N/A	
56. Speed (recorded speed, if available) Code R - Recorded E - Estimated N/A MPH N/A		58. Method(s) of Operation (enter code(s) that apply)			58a. Remotely Controlled Locomotive?
		a. ATCS g. Automatic block m. Special instructions b. Auto train control h. Current of traffic n. Other than main track			0 = Not a remotely controlled 1 = Remote control portable

57. Trailing Tons (gross tonnage, excluding power units)	N/A	c. Auto train stop d. Cab e. Traffic f. Interlocking	i. Time table/train orders j. Track warrant control k. Direct traffic control l. Yard limits	o. Positive train control p. Other (Specify in narrative) Code(s)	2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter
				N/A N/A N/A N/A N/A	N/A

59. Principal Car/Unit	a. Initial and Number	b. Position in Train	c. Loaded(yes/no)	60. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.	Alcohol N/A	Drugs N/A
(1) First involved (derailed, struck, etc)	N/A	N/A	N/A			
(2) Causing (if mechanical cause reported)	N/A	N/A	N/A	61. Was this consist transporting passengers? (Y/N)		N/A

62. Locomotive Units	a. Head End	Mid Train b. Manual c. Remote	Rear End d. Manual c. Remote	63. Cars	Loaded a. Freight b. Pass.	Empty c. Freight d. Pass.	e. Caboose
(1) Total in Train	N/A	N/A N/A	N/A N/A	(1) Total in Equipment Consist	N/A N/A	N/A N/A	N/A
(2) Total Derailed	N/A	N/A N/A	N/A N/A	(2) Total Derailed	N/A N/A	N/A N/A	N/A

64. Equipment Damage This Consist	N/A	65. Track, Signal, Way, & Structure Damage	N/A	66. Primary Cause Code	N/A	67. Contributing Cause Code	N/A
Number of Crew Members				Length of Time on Duty			

68. Engineer/Operators	69. Firemen	70. Conductors	71. Brakemen	72. Engineer/Operator	73. Conductor
N/A	N/A	N/A	N/A	Hrs N/A Mi N/A	Hrs N/A Mi N/A
Casualties to:	74. Railroad Employees	75. Train Passengers	76. Other	77. EOT Device?	78. Was EOT Device Properly Armed?
Fatal	N/A	N/A	N/A	1. Yes 2. No N/A	1. Yes 2. No N/A
Nonfatal	N/A	N/A	N/A	79. Caboose Occupied by Crew?	
				1. Yes 2. No	N/A

OPERATING TRAIN #3

80. Type of Equipment Consist (single entry)	1. Freight train	4. Work train	7. Yard/switching	A. Spec. MoW Equip.	Code	81. Was Equipment Attended?	Code	82. Train Number/Symbol
	2. Passenger train	5. Single car	8. Light loco(s).		N/A	1. Yes 2. No	N/A	N/A
	3. Commuter train	6. Cut of cars	9. Maint./inspect.car					

83. Speed (recorded speed, if available)	Code	85. Method(s) of Operation (enter code(s) that apply)	85a. Remotely Controlled Locomotive?
R - Recorded E - Estimated	N/A MPH N/A	a. ATCS b. Auto train control c. Auto train stop d. Cab e. Traffic f. Interlocking	0 = Not a remotely controlled 1 = Remote control portable 2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter
84. Trailing Tons (gross tonnage, excluding power units)	N/A	g. Automatic block h. Current of traffic i. Time table/train orders j. Track warrant control k. Direct traffic control l. Yard limits	
		m. Special instructions n. Other than main track o. Positive train control p. Other (Specify in narrative) Code(s)	
		N/A N/A N/A N/A N/A	N/A

86. Principal Car/Unit	a. Initial and Number	b. Position in Train	c. Loaded(yes/no)	87. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.	Alcohol N/A	Drugs N/A
(1) First involved (derailed, struck, etc)	N/A	N/A	N/A			
(2) Causing (if mechanical cause reported)	N/A	N/A	N/A	88. Was this consist transporting passengers? (Y/N)		N/A

89. Locomotive Units	a. Head End	Mid Train b. Manual c. Remote	Rear End d. Manual c. Remote	90. Cars	Loaded a. Freight b. Pass.	Empty c. Freight d. Pass.	e. Caboose
(1) Total in Train	N/A	N/A N/A	N/A N/A	(1) Total in Equipment Consist	N/A N/A	N/A N/A	N/A
(2) Total Derailed	N/A	N/A N/A	N/A N/A	(2) Total Derailed	N/A N/A	N/A N/A	N/A

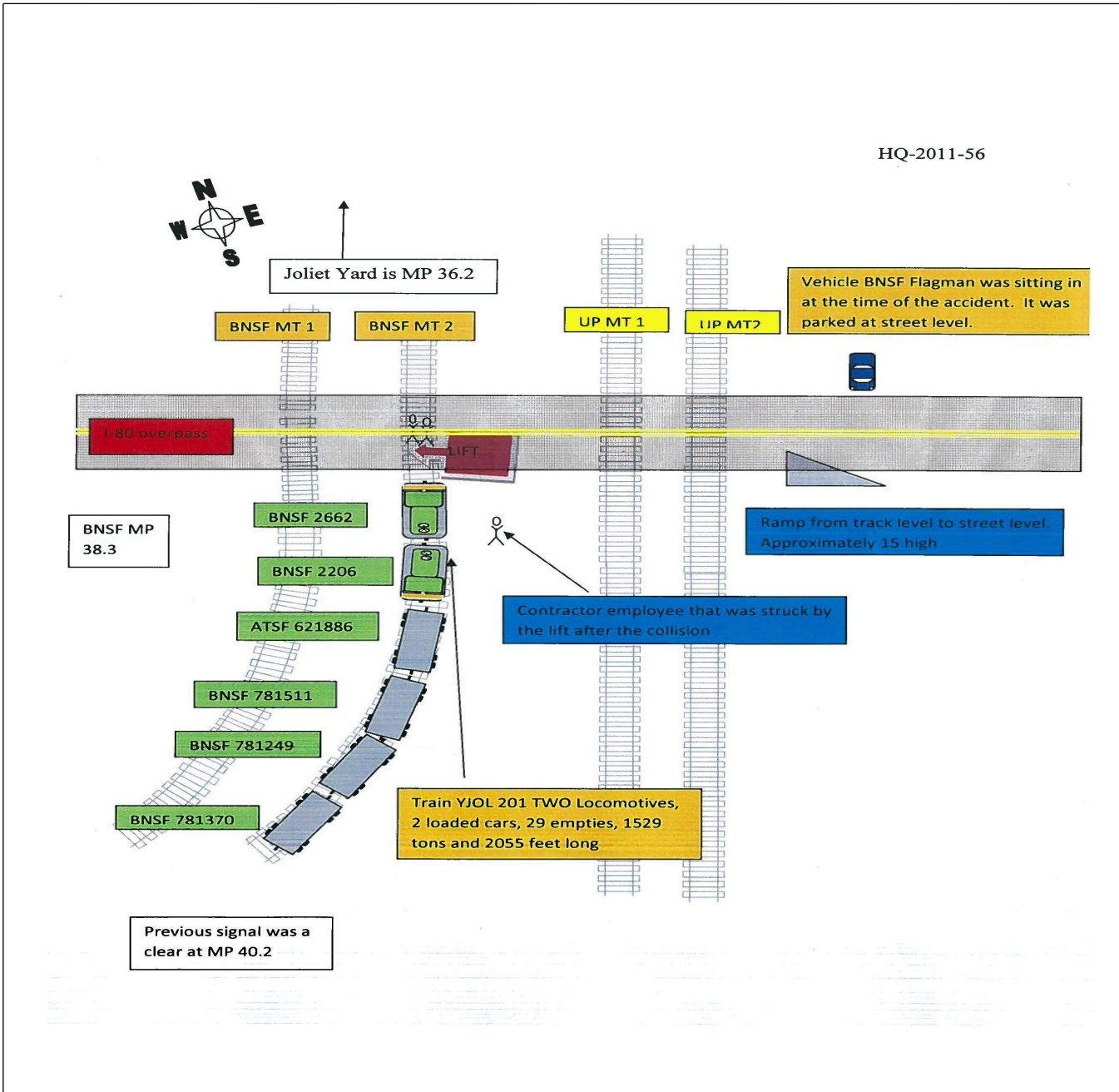
91. Equipment Damage This Consist	N/A	92. Track, Signal, Way, & Structure Damage	N/A	93. Primary Cause Code	N/A	94. Contributing Cause Code	N/A
Number of Crew Members				Length of Time on Duty			

95. Engineer/Operators	96. Firemen	97. Conductors	98. Brakemen	99. Engineer/Operator	100. Conductor
N/A	N/A	N/A	N/A	Hrs N/A Mi N/A	Hrs N/A Mi N/A
Casualties to:	101. Railroad Employees	102. Train	103. Other	104. EOT	105. Was EOT Device Properly
Fatal	N/A	N/A	N/A	1. Yes 2. No N/A	1. Yes 2. No N/A
Nonfatal	N/A	N/A	N/A	106. Caboose Occupied by Crew?	
				1. Yes 2. No	N/A

Highway User Involved				Rail Equipment Involved			
107. C. Truck-Trailer. F. Bus J. Other Motor Vehicle A. Auto D. Pick-Up Truck G. School Bus K. Pedestrian B. Truck E. Van H. Motorcycle M. Other (spec. in narrative)	Code	111. Equipment	Code	3. Train (standing)	6. Light Loco(s) (moving)	Code	
	N/A	1. Train(units pulling)	N/A	4. Car(s) (moving)	7. Light(s) (standing)		
		2. Train(units pushing)		5. Car(s) (standing)	8. Other (specify in narrative)	N/A	
108. Vehicle Speed (est. MPH at impact)	N/A	109. geographical	Code	112. Position of Car Unit in	N/A		
		1. North 2. South 3. East 4. West	N/A				

110. Position 1. Stalled on Crossing 2. Stopped on Crossing 3. Moving Over Crossing 4. Trapped				Code N/A	113. Circumstance 1. Rail Equipment Struck Highway User 2. Rail Equipment Struck by Highway User				Code N/A		
114a. Was the highway user and/or rail equipment involved in the impact transporting hazardous materials? 1. Highway User 2. Rail Equipment 3. Both 4. Neither				Code N/A	114b. Was there a hazardous materials release 1. Highway User 2. Rail Equipment 3. Both 4. Neither				Code N/A		
114c. State here the name and quantity of the hazardous materials released, if any. N/A											
115. Type Crossing 1. Gates 2. Cantilever FLS 3. Standard FLS 4. Wigs 5. Hwy. traffic signals 6. Audible Warning 7. Crossbucks 8. Stop signs 9. Watchman 10. Flagged by crew 11. Other (spec. in narr.) 12. None				Code N/A	116. Signaled Crossing (See instructions for codes)				Code N/A	117. Whistle Ban 1. Yes 2. No 3. Unknown	
Code(s)				N/A	N/A	N/A	N/A	N/A	N/A	N/A	
118. Location of Warning 1. Both Sides 2. Side of Vehicle Approach 3. Opposite Side of Vehicle Approach				Code N/A	119. Crossing Warning with Highway Signals 1. Yes 2. No 3. Unknown				Code N/A	120. Crossing Illuminated by Street Lights or Special Lights 1. Yes 2. No 3. Unknown	
121. Age N/A		122. Driver's Gender 1. Male 2. Female		Code N/A	123. Driver Drove Behind or in Front of and Struck or was Struck by Second Train 1. Yes 2. No 3. Unknown				Code N/A	124. Driver 1. Drove around or thru the Gate 2. Stopped and then Proceeded 3. Did not Stop	
125. Driver Passed Highway Vehicle 1. Yes 2. No 3. Unknown				Code N/A	126. View of Track Obscured by (primary obstruction) 1. Permanent Structure 2. Standing Railroad Equipment 3. Passing Train 4. Topography 5. Vegetation 6. Highway Vehicle 7. Other (specify in narrative) 8. Not obstructed				Code N/A		
Casualties to:			Killed	Injured	127. Driver 1. Killed 2. Injured 3. Uninjured				Code N/A	128. Was Driver in the Vehicle? 1. Yes 2. No	
129. Highway-Rail Crossing Users			N/A	N/A	130. Highway Vehicle Property Damage (est. dollar damage)				N/A	131. Total Number of Highway-Rail Crossing Users (include driver)	
132. Locomotive Auxiliary Lights? 1. Yes 2. No				Code N/A	133. Locomotive Auxiliary Lights Operational? 1. Yes 2. No				Code N/A		
134. Locomotive Headlight Illuminated? 1. Yes 2. No				Code N/A	135. Locomotive Audible Warning Sounded? 1. Yes 2. No				Code N/A		

136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



137. SYNOPSIS OF THE ACCIDENT

On November 17, 2011, at 3:10 a.m., c.s.t., eastbound Burlington Northern Santa Fe (BNSF) Train YJOL2011-16 (YJOL201) collided with an aerial lift occupied by two State of Illinois contractors. The lift was in a raised position approximately 25 feet above the BNSF main tracks. Two contractors sustained injuries when lift was struck and overturned. One of the injured employees was in the lift; the other injured employee was on the ground and was struck by the lift as it was overturned. The second employee in the lift hung from the overpass structure and was uninjured.

The accident occurred in Joliet, Illinois, at BNSF Milepost 38.3, on the BNSF's Chillicothe Subdivision's Main Track No. 2.

There were no injuries to the train crew. The aerial lift was damaged. The leading locomotive sustained damage estimated at \$1,000. There was no derailment. This is not an Amtrak route.

At the time of the accident it was dark, clear, and the temperature was 27 °F.

The probable cause of the accident was the contractors fouled Main Track No. 2 without on-track protection.

Contributing factor was: The BNSF flagman was not in a position to directly monitor contractors working in close proximity to live track.

138. NARRATIVE

Circumstances Prior to the Accident

YJOL201

The crew of YJOL201 consisted of a locomotive engineer, conductor, brakeman, and a student brakeman. The crew went on duty at 3:58 p.m., November 16, 2011, at BNSF's Joliet Yard, in Joliet. This was the home terminal for all crew members. All received more than the statutory off duty period of 10 hours, prior to reporting for duty. The locomotive engineer was off duty for 12 hours. The conductor was off duty for 36 hours. The brakeman was off duty for 12 hours. The student was off duty for 12 hours and 30 minutes.

The crew originally operated a train from Joliet to Elwood, Illinois, setting-out and picking-up cars at an industry along the way. Once the crew arrived at Elwood, they picked-up cars from the last industry. The crew conducted a Transfer Train Brake Test on the cars in their train prior to departing. YJOL201 now consisted of two locomotives (BNSF 2262 and BNSF 2206), 2 loads and 31 empty mixed freight cars, was 2,055 feet long and weighed 1,529 tons. The locomotives were positioned back to back with the lead locomotive (BNSF 2262) facing east and the trailing locomotive facing west. Their train was scheduled to be operated from Elwood to Joliet. The crew made no setouts or pickups between Elwood and the accident site.

YJOL201 departed the industrial lead track at Elwood on a clear signal. The train encountered all clear signals as it approached the accident site. The last signal was located at milepost 40.2. The engineer was seated at the control stand on the south side of the leading locomotive and the conductor was seated in the conductor's seat on the north side. The brakeman and student were riding in the second locomotive.

The timetable and geographic direction are east and west. From the west, there is a 2 degree left hand curve. The accident occurred at the east spiral of the curve. The grade in the area of the accident is practically level.

CONTRACTORS

At milepost 38.3, a BNSF Maintenance of Way employee (Flagman) went on duty at 9:30 p.m. to provide protection for a contractor. The contractor was hired by the State of Illinois to place wood decking on the

underside of the Interstate 80 overpass. There were approximately 12 employees working for the contractor the night of the incident; but only five of them were working in the foul of the tracks. There were two aerial lifts being used. The lifts had steel treads on the base and a boom that extended up with a basket. Both lifts had two contractors in the basket. The contractors would use the lifts to bring plywood and other material up to the underside of the overpass and fasten it in place. One contractor was on the ground preparing material for the contractors in the lifts.

Several times during this project, the BNSF flagman obtained Track and Time to provide protection so the contractors could foul the tracks. The BNSF flagman contacted the dispatcher via cell phone to obtain Track and Time. The Track and Time that protected the contractors prior to the incident was issued on Main Track No. 2 at 2:13 a.m. and released at 2:49 a.m. As the Track and Time was released the BNSF flagman informed the contractors that they had to stay in the clear for train traffic. The BNSF flagman then went and sat in his vehicle which was located in close proximity to, but not in direct view to the work area.

The maximum authorized speed for Main Track No. 2 is 55 mph. There were no temporary speed restrictions in effect.

The Accident

Approaching the accident area, YJOL201 was operating at a recorded speed of 45 mph. The locomotive engineer's view of the track ahead was not obstructed but he was exiting a left hand curve. The crew noticed a group of temporary light towers that were illuminated to the south side of Main Track No. 2. The engineer and conductor noticed an aerial lift positioned over their track. The engineer sounded the horn and placed the train in emergency. The lead locomotive struck the bottom of the aerial lift's basket.

One of the contractors was thrown from the lift and landed on the ballast between BNSF Main Tracks No. 1 and 2. The other employee grabbed onto the steel girder on the underside of Interstate 80 and held himself up until the train came to a stop. He then lowered himself onto the top of a box car and climbed safely to the ground. A contractor employee working on the ground was struck by the lift.

Emergency responders from Will County and Joliet reported to the location. They transported the two injured employees to Silver Cross Hospital in Joliet.

Analysis and Conclusions

Analysis Fatigue:

FRA obtained fatigue related information, including a 10-day history, for three employees involved in this accident, including the locomotive engineer, conductor, and the yard helper assigned to the involved train

Conclusion Fatigue: FRA concluded fatigue was not probable for the engineer or conductor of YJOL201. Upon analysis of the fatigue related information, FRA concluded that fatigue was probable for the brakeman. The possible fatigued condition of the brakeman is not considered as a contributing factor because he was located in a trailing locomotive at the time of the accident.

Analysis Toxicological Testing: BNSF conducted a company authorized drug and alcohol test on the crew of YJOL201 and the flagman. The tests were conducted after the crew had expired under the Hours of Service. The accident did not meet any of the criteria that allow a railroad to exceed the Hours of Service Law to conduct a Reasonable Cause test under company authority.

The contractors involved were also given a drug test.

Conclusion: BNSF told FRA the results of the company authorized drug and alcohol tests were negative. Only one of the crew members properly completed their Hours of Service Record to indicate excess service was performed.

The results for the contractors were also negative.

Analysis- YJOL201's Crew : The engineer and conductor said they had a green (clear) signal indication at milepost 40.2. The crew had no knowledge of the workers fouling their track until they came around the curve

and saw the lift positioned over their track. They were operating in compliance with signal indication and were not exceeding maximum authorized speed.

The locomotive event recorder indicated the engineer sounded the horn. It showed the train was travelling at 45 m.p.h. when the engineer initiated an emergency application of the brakes. The train traveled approximately 1160 feet after the emergency application of the brakes.

Conclusion: The crew was in compliance with railroad rules and their actions were not a contributing cause to the accident.

Analysis- BNSF Flagman: The BNSF flagman was a Maintenance of Way employee trained and qualified to be an Employee in Charge. He obtained Track and Time protection so the contractors could foul the BNSF main tracks. In addition to telling the contractors he was releasing the track and time; he also told them how many trains they had to wait for before they could go back to work. The contractors were not roadway workers and were not covered by Federal regulations in regards to Part 214, Roadway Worker Protection.

Conclusion: The BNSF flagman informed the contractors how many trains were expected to pass before work could continue. There does not appear to have been clear instructions given to the contractors acknowledging that all work should discontinue in the foul of the tracks until a job briefing is performed and Track and Time reissued. The BNSF flagman then left the work area and was not in a position to ensure the contractors did not foul the track while there was no track and time in effect. The contractors were not required to be trained for Federal regulations pertaining to on-track safety. The lack of clear communication was a contributing factor.

Analysis-Contractors: The contractors were relying on the BNSF flagman to provide protection. The flagman told them how many trains they had to stay in the clear for; and they thought they could go back to work after that number of trains went by. They did not have a clear understanding of how their on-track protection was being provided.

Conclusion: The contractors did not clearly understand the procedures being used to provide their protection. Their lack of clear communication between the BNSF flagman and the contractor personnel was a contributing factor to their lack of understanding.

Probable Cause and Contributing Factors

The probable cause of the accident was the contractors fouled BNSF Main Track No. 2 without on-track protection.

Contributing factor was: The BNSF flagman was not in a position to directly monitor the contractors working in close proximity to live track.

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